

ASSIGNMENT 2

Textbook Assignment: *Department of the Navy Personnel Security Program Regulation, SECNAVINST 5510.30A*, "Personnel Security Investigations," chapter 6, pages 6-1 through 6C-1; and "Personnel Security Determinations," chapter 7, pages 7-1 through 7-15.

LEARNING OBJECTIVE: *Identify the types of personnel security investigations and investigative procedures and requirements for personnel security clearances.*

2-1. Which of the following pertain(s) to Personnel Security Investigations (PSIs)?

1. Conducted on individuals who will be given access to classified information
2. Conducted on individuals who will be assigned sensitive duties
3. Both 1 and 2 above
4. Conducted on individuals transferring to another command

2-2. Which of the following officials is/are authorized to request PSIs on individuals under their jurisdiction?

1. Commanding officers of organizations and activities listed on the Standard Navy Distribution List and Marine Corps List of Activities
2. Director, DON Central Adjudication Facility (CAF)
3. Chiefs of recruiting stations
4. All of the above

2-3. The sole purpose of a personnel security investigation is to prevent unqualified applicants from being accepted for employment within the Department of the Navy.

1. True
2. False

2-4. Which of the following statements describe(s) personnel security investigative policy?

1. Only the minimum investigation to satisfy a requirement will be requested
2. The investigation requested and conducted will be commensurate with the level of sensitivity of the access required or the position occupied
3. CNO (N09N2) must give prior approval to establish investigative requirements in addition to, or at variance with, those established in SECNAVINST 5510.30A
4. All of the above

2-5. The Defense Security Service (DSS) and the U.S. Investigative Service (USIS) conduct all PSIs for the DON within the Continental United States.

1. True
2. False

2-6. Which of the following investigations, if any, is conducted by USIS and meets the minimum investigative requirements for appointment to a non-critical sensitive position with access to classified information?

1. ENTNAC
2. ANACI
3. SSBI
4. None of the above

- 2-7. What investigation is conducted to support Top Secret clearance and SCI access eligibility determinations?
1. SSBI
 2. NACI
 3. NAC
 4. CPR
- 2-8. An NAC includes a search of the DCII, FBI files and files of other appropriate government agencies.
1. True
 2. False
- 2-9. Which of the following investigative elements is/are included in a PR for continued Top Secret access?
1. NAC
 2. Subject interview
 3. Ex-spouse interview
 4. All of the above
- 2-10. A reinvestigation updates a previous investigation and is authorized only for specific duties and access.
1. True
 2. False
- 2-11. Of the following investigations, which is conducted to resolve personnel security issues which arise after a PSI is conducted, evaluated or adjudicated?
1. SPR
 2. NACI
 3. SSBI
 4. SII
- 2-12. Under what circumstances is a prenomination interview conducted?
1. Before nomination for SCI access
 2. Before granting TS access
 3. Before requesting a PSI
 4. Both 2 and 3 above
- 2-13. Only U.S. citizens are eligible for security clearance.
1. True
 2. False
- 2-14. Which of the following is the minimum investigative basis for Secret or Confidential clearance eligibility determinations?
1. NACLC
 2. ANACI
 3. Either 1 or 2 above, depending upon military or civilian status
 4. ENTNAC
- 2-15. Deleted
- 2-16. A new investigation is required upon reentry of officers and enlisted members if there has been a break in active service of over 24 months.
1. True
 2. False
- 2-17. Which of the following investigations, if any, is required for a civilian employee who requires access to information classified Secret in performance of duties?
1. ANACI
 2. NACI
 3. NAC
 4. None of the above

- 2-18. With the exception of loyalty reasons, who within the Navy and Marine Corps has authority to deny acceptance and retention in the DON?
1. CHNAVPERS and CMC
 2. DON CAF
 3. CNO (N09N2)
 4. Both 2 and 3 above
- 2-19. For the purpose of partial or full mobilization under provisions of Title 10, U.S.C. (Title 14 pertaining to the U.S. Coast Guard as an element of the DON), the requirement for a NAC upon reentry may be waived.
1. True
 2. False
- 2-20. Deleted
- 2-21. Emergency appointees may NOT be considered for positions requiring access to classified information.
1. True
 2. False
- 2-22. Which of the following governs the management of the investigative requirements for DON contractor personnel?
1. DISCR
 2. DSS
 3. NISP
 4. FAD
- 2-23. Which of the following is the minimum investigative requirement for assignment as a security manager?
1. ANACI
 2. NAC
 3. SPR within 10 years
 4. SSBI or PR within 5 years
- 2-24. Deleted
- 2-25. Contract guards require a favorably adjudicated SSBI.
1. True
 2. False
- 2-26. Which of the following is the governing regulation for the Personnel Reliability Program (PRP)?
1. SECNAVINST 5510.35
 2. SECNAVINST 5510.30A
 3. SECNAVINST 5510.36
 4. OPNAVINST 5510.162
- 2-27. Which of the following programs has/have Special Investigative Requirements?
1. SIOP-ESI
 2. NATO
 3. PSA
 4. All of the above
- 2-28. Investigations will not be duplicated when a previously conducted investigation meets the scope and standards for the level required.
1. True
 2. False

- 2-29. Reciprocity requires that Federal Government agencies accept each other's investigations and consequent favorable personnel security determinations without re-adjudication. Under what circumstances is reciprocity NOT appropriate or necessary?
1. Potentially disqualifying information is developed since the last favorable adjudication
 2. The individual is being considered for a higher level clearance eligibility
 3. The most recent clearance or access authorization was conditional or based on a waiver
 4. All of the above
- 2-30. Before requesting an investigation, activities must determine that the individual does NOT have an investigation which satisfies the requirements.
1. True
 2. False
- 2-31. Requests for PSIs will NOT normally be submitted on any civilian or military personnel who will be retired, resigned, or separated with less than nine months of service remaining.
1. True
 2. False
- 2-32. Prior personnel security investigations may only be requested by commands for review in support of an official requirement. All requests for prior investigations must be fully justified and forwarded to which of the following?
1. DON CAF
 2. Naval Criminal Investigative Service
 3. Defense Security Service
 4. Bureau of Naval Personnel
- 2-33. Which of the following functions is/are command responsibility(ies) performed in conjunction with personnel security investigation requests?
1. Verification of prior investigation
 2. Local records check
 3. Verification of date and place of birth
 4. All of the above
- 2-34. Commands are required to validate the citizenship of individuals prior to submitting a request for a PSI.
1. True
 2. False
- 2-35. What type of investigation is required for DON civilian employees in non-critical sensitive positions and those who will require access to Confidential and Secret classified information?
1. ANACI
 2. ENTNAC
 3. SSBI
 4. SII
- 2-36. What type of investigation is required to support trustworthiness determinations?
1. SPR
 2. NAC
 3. SSBI
 4. NACLC
- 2-37. What type of investigation is required to support security and suitability determinations for civilian employees and military members requiring access to Top Secret and/or SCI and assignment to special-sensitive and/or critical-sensitive positions?
1. SII
 2. PR
 3. SSBI
 4. NAC

- 2-38. An individual who refuses to provide relevant information for investigative purposes may be considered eligible for access to classified information.
1. True
 2. False
- 2-39. When an investigation is in a pending status and the subject is released from active duty, discharged, resigns, or circumstances change and the investigation is no longer required, the command must notify what agency?
1. NCIS
 2. DSS
 3. BUPERS
 4. DON CAF
- 2-40. When the investigation request is rejected by the investigative agency because the request package was not properly completed, commands must take corrective action immediately and resubmit the request.
1. True
 2. False
- 2-41. Commands must submit tracer requests regarding overdue DSS investigations to DON CAF.
1. True
 2. False
- 2-42. Commands may obtain the status of DSS investigations by calling DSS customer service.
1. True
 2. False
- 2-43. Where are all PSIs conducted for DON activities forwarded to upon completion?
1. Requesting activity
 2. NCIS
 3. DON CAF
 4. BUPERS
- 2-44. Investigations requested to support trustworthiness determinations and non-sensitive positions are NOT adjudicated by the DON CAF.
1. True
 2. False
- 2-45. Reports of investigation may only be shown or released to the subject of the investigation.
1. True
 2. False
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- LEARNING OBJECTIVE:*** *Recognize the basic policy and procedures for personnel security determinations and identify personnel security program authorities and their responsibilities.*
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- 2-46. What Executive Orders establish the standards for personnel security determinations?
1. E.O. 11690 and E.O. 10450
 2. E.O. 10450 and E.O. 12968
 3. E.O. 10450 and E.O. 12958
 4. E.O. 12958 and E.O. 12968

- 2-47. Who is responsible for ensuring the security information in the military personnel database is accurately updated from the DON CAF database and reported to commands via the EDVR and ODCR?
1. Director, NCIS
 2. CNO (N09N2)
 3. Chief, NAVPERS
 4. DSS
- 2-48. Who is responsible for ensuring the security information in the Defense Civilian Personnel Data System (DCPDS) is accurately updated from the DON CAF database and reported to commands?
1. Chief, NAVPERS
 2. CNO (N09N2)
 3. SECNAV
 4. DASN (CP/EEO)
- 2-49. What command documents personnel security determinations in the Navy Joint Adjudication and Clearance System (NJACS) and the Defense Clearance and Investigations Index (DCII)?
1. DON CAF
 2. CNO (N09N2)
 3. DSS
 4. NAVPERS
- 2-50. Commanding officers are responsible for granting interim personnel security clearances.
1. True
 2. False
- 2-51. Commanding officers will maintain a personnel security record on all assigned personnel, to include records of briefings, clearance determinations, and access determinations.
1. True
 2. False
- 2-52. Which of the following situations require(s) a personnel security determination?
1. Access to classified information or assignment to sensitive duties is necessary under interim conditions
 2. Questionable or unfavorable information becomes available about an individual in a sensitive position or a position requiring access to classified information
 3. A personnel security investigation on a nominee for a security clearance or assignment to sensitive duties has been completed
 4. All of the above
- 2-53. Trustworthiness NACs will be requested using the SF 85P, forwarded to DSS for investigation and adjudicated by the DON CAF.
1. True
 2. False
- 2-54. The DON Facility Access Determination (FAD) Program applies to contractor employees and was established to support commanding officers in their responsibilities under the Internal Security Act of 1950 to protect persons and property under their command against the actions of untrustworthy persons.
1. True
 2. False
- 2-55. The DON CAF issues a Letter of Intent (LOI) to revoke or deny which of the following eligibilities?
1. Security clearance
 2. Assignment to a sensitive position
 3. Access to Sensitive Compartmented Information
 4. All of the above

2-56. The recipient of a Letter of Intent from the DON CAF has what maximum number of calendar days to respond in writing?

1. 15
2. 30
3. 45
4. 90

2-57. The ultimate appellate authority for unfavorable DON CAF personnel security determinations is what entity?

1. CNO (N09N2)
2. DOHA
3. DON CAF
4. PSAB

2-58. A personal appearance before an administrative judge of the Defense Office of Hearing and Appeals (DOHA) must be requested within what maximum number of days after receipt of a Letter of Notification?

1. 10
2. 15
3. 30
4. 45

2-59. Written appeals to the PSAB must be submitted within what maximum number of days after receipt of a Letter of Notification?

1. 15
2. 30
3. 60
4. 90